

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee:
Application or Patent No.:
Filed or Issued:
Title:

Jennifa Gosling et al.
09/721,341
November 21, 2000
Chemokine Receptor

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

Name of Small Business Concern:
Address of Small Business Concern:

ChemoCentryx, Inc.

1539 Industrial Road
San Carlos, CA 94070

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Chemokine Receptor by inventor(s) Jennifa Gosling, Daniel J. Dairaghi, Michael Hanley, Zhenhua Miao, Dale Talbot, Thomas J. Schall described in:

- ☐ the specification filed herewith;
☒ Application No. 09/721,341, filed November 21, 2000;
☐ Patent No. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name:
Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name:
Address:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:
Title of Person if Other than Owner:
Address of Person Signing:

1539 Industrial Road
San Carlos, CA 94070

Signature

Date

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **CHEMOKINE RECEPTOR** the specification of which _____ is attached hereto or X was filed on October 10, 2000 as Application No. 09/686,020 and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
60/159,015	10/12/99
60/159,210	10/13/99
60/172,979	12/20/99
60/173,388	12/28/99
60/186,626	3/3/00

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

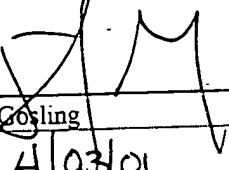
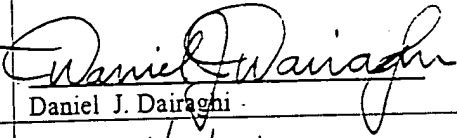

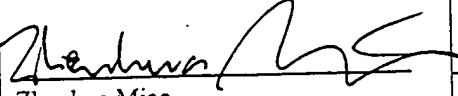

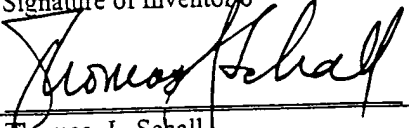
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Randolph T. Apple, Reg. No. 36,429
 William M. Smith, Reg. No. 30,223
 William B. Kezer, Reg. No. 37,369
 Joe Liebeschuetz, Reg. No. 37,505

Send Correspondence to: Randolph T. Apple TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834	Direct Telephone Calls to: (Name, Reg. No., Telephone No.) Name: Randolph T. Apple Reg. No.: 36,429 Telephone: 650-326-2400
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Full Name of Inventor 1:	Last Name: GOSLING	First Name: JENNIFA	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 322 Virginia Avenue	City: San Francisco	State/Country: California	Postal Code: 94110
Full Name of Inventor 2:	Last Name: DAIRAGHI	First Name: DANIEL	Middle Name or Initial: J.	
Residence & Citizenship:	City: Palo Alto	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 2080 Cornell Street	City: Palo Alto	State/Country: California	Postal Code: 94306
Full Name of Inventor 3:	Last Name: HANLEY	First Name: MICHAEL	Middle Name or Initial:	
Residence & Citizenship:	City: Corte Madera	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 81 Parkview Circle	City: Corte Madera	State/Country: California	Postal Code: 94925
Full Name of Inventor 4:	Last Name: MIAO	First Name: ZHENHUA	Middle Name or Initial:	
Residence & Citizenship:	City: San Jose	State/Foreign Country: California	Country of Citizenship: China	
Post Office Address:	Post Office Address: 7070 Rainbow Drive #2	City: San Jose	State/Country: California	Postal Code: 95129
Full Name of Inventor 5:	Last Name: TALBOT	First Name: DALE	Middle Name or Initial:	
Residence & Citizenship:	City: San Francisco	State/Foreign Country: California	Country of Citizenship: Canada	
Post Office Address:	Post Office Address: 67 Brentwood Avenue	City: San Francisco	State/Country: California	Postal Code: 94127
Full Name of Inventor 6:	Last Name: SCHALL	First Name: THOMAS	Middle Name or Initial: J.	
Residence & Citizenship:	City: Palo Alto	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 530 Webster Place, No. 5	City: Palo Alto	State/Country: California	Postal Code: 94301

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Jennifa Gosling	Signature of Inventor 2  Daniel J. Dairaghi	Signature of Inventor 3  Michael Hanley
Date 4/03/01	Date 4/3/01	Date
Signature of Inventor 4  Zhenhua Miao	Signature of Inventor 5  Dale Talbot	Signature of Inventor 6  Thomas J. Schall
Date 4/3/01	Date	Date 4/03/01

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Signature of Inventor 1 Jennifa Gosling	Signature of Inventor 2 Daniel J. Dairaghi	Signature of Inventor 3 <i>Michael Hanley</i> Michael Hanley
Date	Date	Date 5/04/01
Signature of Inventor 4 Zhenhua Miao	Signature of Inventor 5 Dale Talbot	Signature of Inventor 6 Thomas J. Schall
Date	Date	Date

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Signature of Inventor 1 Jennifa Gosling	Signature of Inventor 2 Daniel J. Dairaghi	Signature of Inventor 3 Michael Hanley
Date	Date	Date
Signature of Inventor 4 Zhenhua Miao	Signature of Inventor 5 Dale Talbot	Signature of Inventor 6 Thomas J. Schall
Date	Date March 28, 01	Date